

State of Maryland
Quarterly Activity Report
Reporting Agency: State Treasurer's Office (3rd Quarter)

Month: Jul. – Sep. 2019

Item & Category	Method	# Bids	Original Contract Amount	Fund Source		Award Date
T-1/S	2	4	Cat. A - Depository and Disbursement - \$50,898; and Cat. B – Lockbox - \$62,463	Maryland 529		07/01/2019
Using Agency Maryland 529			Contractor & Address Wells Fargo Bank, N.A. McLean, VA		Contract Identifier & Project Title RFP #MD529-03152019 Banking Services for Maryland 529 (Categories A and B)	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option): The Maryland State Treasurer’s Office, on behalf of the Maryland 529 awarded a Contract for Banking Services (Category A - Depository and Disbursement Services, and Category B - Lockbox Services, relating to cash management and assets overseen by the MD529 Board of Directors (the “Board”) for the Prepaid College Trust, and other trust funds that may be assigned to be administered by the Board. Category A and B were awarded to Wells Fargo Bank, N.A. The initial term of the Contract is for three years ending June 30, 2022, with two additional one-year renewal options.						
Complete Below - Contract Modifications Only						
Modification Date:	Modification No. and \$ Value of		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract		New Contract Amount

Item & Category	Method	# Bids	Original Contract Amount	Fund Source	Award Date
T-2/S	2	1	Cat. C – Custody Services \$426,126	Maryland 529	07/01/2019
Using Agency Maryland 529			Contractor & Address U.S Bank, National Association Minneapolis, MN		Contract Identifier & Project Title RFP #MD529-03152019 Banking Services for Maryland 529 (Category C)
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option): The Maryland State Treasurer’s Office, on behalf of the Maryland 529 awarded a Contract for Banking Services (Category C – Custody Services relating to cash management and assets overseen by the MD529 Board of Directors (the “Board”) for the Prepaid College Trust, and other trust funds that may be assigned to be administered by the Board. The initial term of the Contract is for three years ending June 30, 2022, with two additional one-year renewal options.					
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Procurement Method: 1 = Competitive Sealed Bidding; 2 = Competitive Sealed Proposals; 3 = Negotiated Award; 4 = Sole Source; 5 = Emergency Procurement; 6 = Small Procurement; 7 = Preference Purchase; 8 = A/E Act, Exercise option contained in original contract, 9 Exercise renewal option.

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Item & Category	Method	# Bids	Original Contract Amount	Fund Source 60% Revenue Bond Proceeds 40% General Bond Reserves	Award Date
T-3/S	2	3	\$2,971,000		07/01/2019
Using Agency Maryland Department of Housing and Community Development			Contractor & Address Caine Mitter & Associates, Inc. New York, New York		Contract Identifier & Project Title RFP #DHCD-FA-04182019 Financial Advisor for the Maryland Department of Housing and Community Development
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option): The Maryland State Treasurer’s Office, on behalf of the Maryland Department of Housing and Community Development awarded a Contract for Financial Advisor Services to assist with public bond financings and capital markets activities for housing. The initial term of the Contract is for four years ending August 31, 2023, with two additional one-year renewal options.					
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Modification Date:	Modification No. and \$ Value of		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract	New Contract Amount

Item & Category	Method	# Bids	Original Contract Amount	Fund Source		Award Date
T-4/S	4	1	\$307,200	Treasury		07/01/2019
Using Agency State Treasurer’s Office			Contractor & Address Bloomberg Finance, LP New York, New York		Contract Identifier & Project Title Bloomberg Agreement #163689 Bloomberg Financial Services	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option): The Maryland State Treasurer’s Office (the “Office”) contracted with Bloomberg Finance, LP for utilization of their proprietary software (Bloomberg Professional Services). This service enables the finance professionals in the Office to monitor and analyze real time financial data, competing bids and place trades on their electronic platform, as well as obtaining financial market news, price quotes and messaging across its proprietary secure network. Pricing reflects services to renew up through June 2025.						
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Item & Category	Method	# Bids	Original Contract Amount	Fund Source		Award Date
T-5 /Ins	Broker	N/A	See Attachment T-5/Ins	MTA - Transportation Trust Fund		5/15/2018
Using Agency State Treasurer’s Office			Contractor Name, City State Willis of Maryland, Inc. Hunt Valley, MD 21030		Contract Identifier & Project Title AV/TR-02072018 Insurance Broker Services for Aviation Coverage and Transit Coverage	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option) In accordance with the Contract for Insurance Broker Services for Aviation and Transit Coverage, the broker placed insurance related to railcars and liability for operations associated with Maryland Transit Administration (effective: 7/1/2019 – 7/1/2020). See attachment T-5 for details.						
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Modification Date:	Modification No. and \$ Value of Mod.		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract		New Contract Amount

Item & Category	Method	# Bids	Original Contract Amount	Fund Source	Award Date
T-6/Ins	Broker	N/A	See attachment T-6/Ins	Various Universities and Colleges	5/15/2019
Using Agency State Treasurer’s Office			Contractor Name, City State RCM&D Baltimore, MD 21286		Contract Identifier & Project Title TAAP-02042019 Insurance Broker Services for Travel/Accident and Athletic Participants Coverages
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option) In accordance with the Contract for Insurance Broker Services for Travel/Accident for Athletic Participants coverages, the broker placed athletic accident insurance for student athletes for ten (10) Maryland State Universities and Colleges (effective: 8/1/2019 – 8/1/2020). See attachment T-6/Ins for details.					
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Modification Date:	Modification No. and \$ Value of Mod.		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract	New Contract Amount

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Item & Category	Method	# Bids	Original Contract Amount	Fund Source	Award Date
T-7/Ins	Broker	N/A	See attachment T-7/Ins	Various Universities and Colleges and MD Department of Agriculture	7/1/2017
Using Agency			Contractor Name, City State		Contract Identifier & Project Title
State Treasurer’s Office			Willis of Maryland, Inc. Hunt Valley, MD 21030		LIA-02102017-A Insurance Broker Services for Liability Coverages (Category A)
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option)					
In accordance with the Contract for Insurance Broker Services for Liability Coverages (Category A), the broker placed liability insurance for the Maryland Department of Agriculture Farmers’ Markets Program (effective: 7/1/2019 – 7/1/2020), and professional liability for Allied Health Students, faculty/instructors of 12 specified Maryland Universities and Colleges (effective: 9/1/2019-9/1/2020). See attachment T-7/Ins for details.					
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Modification Date:	Modification No. and \$ Value of Mod.		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract	New Contract Amount

Item & Category	Method	# Bids	Original Contract Amount	Fund Source	Award Date
T-8/Ins	Broker	N/A	See attachment T-8/Ins	MTA – Transportation Trust Fund & MES – Operations Fund/ Recycling	11/15/2017
Using Agency			Contractor Name, City State		Contract Identifier & Project Title
State Treasurer’s Office			Willis of Maryland, Inc. Hunt Valley, MD 21030		AUTO-09202017 Insurance Broker Services for Auto Coverages
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option)					
In accordance with the Contract for Insurance Broker Services for Auto Coverages, the broker placed commercial automobile insurance for the Maryland Transit Administration (effective: 7/1/2019 – 7/1/2020) and Maryland Environmental Insurance (effective: 7/29/2019 – 7/29/2020. See attachment T-8/Ins for details.					
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Item & Category	Method	# Bids	Original Contract Amount	Fund Source		Award Date
T-9/Ins	broker	N/A	See attachment T-9/Ins	State Insurance Trust Fund		9/1/2014
Using Agency State Treasurer’s Office			Contractor Name, City State Aon Risk Services Washington DC 20036		Contract Identifier & Project Title Prop/Pkg-06192014 Insurance Broker Services for Property & package Coverages	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option) In accordance with the Contract for Insurance Broker Services for Property and Package Coverages for the State of Maryland, the broker placed insurance for Blanket Boiler and Machinery insurance for boilers and machinery installed in State-owned facilities (effective: 9/30/2019 -9/30/2020). See attachment T-9/Ins for details.						
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Modification Date:	Modification No. and \$ Value of Mod.		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract		New Contract Amount

Item & Category	Method	# Bids	Original Contract Amount	Fund Source	Award Date
T-10/Ins	Broker	N/A	See attachment T-10/ Ins	Maryland State Retirement & Maryland Board of Physicians Special Funds	5/15/2017
Using Agency State Treasurer’s Office			Contractor Name, City State Insurance Solutions Associates, Inc. Landover, MD 20785	Contract Identifier & Project Title CSBC-04182017 Insurance Broker Services for Crime, Surety/Bonds, Employee Dishonesty and Related Coverages	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option) In accordance with the Contract for Insurance Broker Services for rime, Surety/Bonds, Employee Dishonesty and Related Coverages, the broker placed crime insurance for Maryland State Retirement and Pension Fund (effective: 7/1/2019 – 7/1/2020) and a Public Official Bond for MD Board of Physicians (effective: 8/4/2019 – 8/4/2020). See attachment T-10/Ins for details.					
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Item & Category	Method	# Bids	Original Contract Amount	Fund Source	Award Date
T-11/Ins	Broker	N/A	See attachment T-11/Ins	MAA – Operating Fund	05/15/2018
Using Agency State Treasurer's Office			Contractor Name, City State Willis of Maryland, Inc. Hunt Valley, MD 21030	Contract Identifier & Project Title AV/TR-02072018 Insurance Broker Services for Aviation Coverage and Transit Coverage	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option) In accordance with the Contract for Insurance Broker Services for Aviation Coverage and Transit Coverage, the broker placed insurance related to airport liability insurance for the Maryland Aviation Administration, Baltimore/Washington International Thurgood Marshall Airport (BWI) and Martin State Airports (effective: 8/15/2019 – 8/15/2020. See attachment T-11 for details.					
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INSURANCE DETAILS
STO - 2019 (3RD Quarter)

Contract: AV/TR-02072018
Broker: Willis of Maryland, Inc.
Report Period: 3rd Quarter 2019
Item & Category: T-5/Ins

<u>Policy #</u>	<u>Insurance Company</u>	<u>Policy Amount</u>	<u>Description</u>
QIRR804607	Queens Island	\$1,000,000.00	Maryland Transit Administration liability associated with transit operations. Numerous insurance companies were utilized. No one carrier was able to cover the entire amount of insurance required. Policies are effective: 7/1/2019-2020.
CSUSA1801681	XL Catlin/Aegis/Apollo/Aspen	\$405,000.00	
MCPD205520	Magna Carta (PDWrap) Zurich North America		
C000345	Allied World (AWAC) Markel/Amtrust Syndicate	\$456,500.26	
MCPD205520	Magna Carta (PDWrap)		
EXS0007965-06	North American Capacity Ins. Co.	\$270,375.00	
XLUMB602083	AXA XL (BDA Bermuda) & Dublin	\$797,260.55	
C000345	Allied World (AWAC)		
	Apollo		
MCPD205520	Magna Carta (PDwrap)		
CSUSA1801681	Hiscox/XL Catlin/Aspen	\$1,056,617.44	
B080119316U19	Amtrust/ Argo Re		
EXC10003652100	Sompo/Endurance Specialty		
C000345	Allied World (AWAC)		
CX17-5410	Hamilton Re		
XLUMB-602083	Liberty London		
MDCSX-917/5	Chubb Bermuda Ins Ltd	\$226,718.00	
URP0034300-00	Arch Insurance Bermuda	\$135,450.00	
MDCSX-917/5	Chubb Bermuda Ins Ltd	\$308,968.38	
IS0000901	Liberty Specialty/Starr/ Antares		
XLUMB-602083	Liberty London		
MCPD205520	Magna Carta (PDwrap)		
TOTAL:		\$4,656,889.63	
015909435	Lexington Insurance Company	\$409,065	Maryland Transit Administration property insurance for rail cars and other equipment. Coverage also includes stand-alone terrorism. Policies are effective: 7/1/2019-2020.
B080115959L19	Lloyds of London	<u>\$39,600</u>	
TOTAL:		\$448,665	

Contract: TAAP-02042019
Broker: RCM&D
Report Period: 3rd Quarter 2019
Item & Category: T-6/Ins

<u>Policy #</u>	<u>Insurance Company</u>	<u>Policy Amount</u>	<u>Description</u>
IHH000147-937 (BCCC)	QBE Insurance Corporation	\$18,325	Athletic accident insurance for student athletes of Maryland Universities and Colleges. Insurance is intended as underlying for NCAA participating schools and/or schools that require underlying coverage for catastrophic insurance.
IHH000149-937 (Bowie)	QBE Insurance Corporation	\$99,563	
IHH000157-937 (Coppin)	QBE Insurance Corporation	\$53,482	
IHH000152-937 (Frostburg)	QBE Insurance Corporation	\$60,988	
IHH000156-937 (Morgan)	QBE Insurance Corporation	\$222,015	
IHH000148-937 (SMCM)	QBE Insurance Corporation	\$14,203	

INSURANCE DETAILS
STO - 2019 (3RD Quarter)

T-5/Ins (continued)

IHH000150-937 (Salisbury)	QBE Insurance Corporation	\$61,075	There are a total of 10 schools insured. Policies are effective: 8/1/2019-2020
IHH000145-937 (Towson)	QBE Insurance Corporation	\$246,251	
IHH000151-937 (UMBC)	QBE Insurance Corporation	\$184,386	
IHH000146-937 (UMES)	QBE Insurance Corporation	\$36,741	

TOTAL: \$997,029

SRG0009155220	National Union Fire Insurance Co. of Pittsburg, PA	\$4,867	Catastrophic athletic accident insurance for student athletic participants at Baltimore City Community College. Policy is effective: 8/1/2019-2020.
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SB21CCMO-050171-020	Mutual of Omaha	\$750	Catastrophic athletic accident insurance for student athletic participants of the sailing program at St. Mary's College of Maryland. Policy is effective: 8/1/2019-2020.
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Contract: LIA-02102017-A
Broker: Willis of MD, Inc.
Report Period: 3rd Quarter 2019
Item & Category: T-7/Ins

<u>Policy #</u>	<u>Insurance Company</u>	<u>Policy Amount</u>	<u>Description</u>
30SBMBV4181	Hartford Casualty Ins. Co.	\$5,607	Maryland Dept. of Agriculture, Farmers Markets Program - General Liability Insurance. Policy covers approximately 20 locations and is effective: 7/1/2019-2020.
MFL004212-0919	Homeland Insurance Co. of New York	\$69,765	Professional Liability Insurance for Allied Health Students and faculty/instructors of 12 specified Maryland universities and colleges. Policy is effective: 9/1/2019-2020.

Contract: Auto-09202017
Broker: Willis of MD, Inc.
Report Period: 3rd Quarter 2019
Item & Category: T-8/Ins

<u>Policy #</u>	<u>Insurance Company</u>	<u>Policy Amount</u>	<u>Description</u>
MKLV3IM0047272	Evanston Insurance Company	\$233,827	Maryland Transit Administration - commercial automobile physical damage insurance for revenue generating fleet of buses and mobility vehicles. Policy is effective 7/1/2019-2020.
RSO-0004127	National Casualty Company	\$25,160	Maryland Environmental Service - Montgomery County Recycling Program. Commercial automobile insurance. MES is under contract to insure four (4) County owned vehicles. Policy is effective 7/29/2019-2020.

INSURANCE DETAILS
STO - 2019 (3RD Quarter)

Contract: PROP/PKG-06192014-A&B
Broker: Aon Risk Services
Report Period: 3rd Quarter 2019
Item & Category: T-9/Ins

<u>Policy #</u>	<u>Insurance Company</u>	<u>Policy Amount</u>	<u>Description</u>
BME11L55539A	Travelers Property & Casualty Co. of America	\$554,239	Maryland Blanket boiler & machinery insurance. Covers all State owned boilers and machinery installed at all State owned facilities. Policy is effective: 9/30/2019-2020.

Contract: CSBC-04182017
Broker: Insurance Solutions Assoc. Inc.
Report Period: 3rd Quarter 2019
Item & Category: T-10/Ins

<u>Policy #</u>	<u>Insurance Company</u>	<u>Policy Amount</u>	<u>Description</u>
32S565562	Liberty Mutual Surety	\$1,473	Crime insurance for Maryland Retirement & Pension System. Coverage is for Employee Dishonesty and Faithful Performance. Policy is effective: 7/1/2019-2020.
42BSBGY3091	Hartford Fire Insurance Co.	\$100	Public Official Bond for Christine Farrelly, Executive Director of MD Board of Physicians. The bond is effective 8/4/2019-2020.

Contract: AV/TR-02072018
Broker: Willis of MD, Inc.
Report Period: 3rd Quarter 2019
Item & Category: T-11/ Ins

1000189025-04	Starr Indemnity & Liability Co.	\$349,500	Maryland Aviation Administration - Airport Liability Insurance BWI & Martin State Airports. Policy has total liability limit of \$750M and also includes \$150M War and Extended Coverages. Policy is effective 8/15/2019-2020.
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